

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 1. Pledges & Testamentary Commitments (Optional)**

A. Outstanding Pledges Report the number and dollar amount of new contributions pledged (unconditionally) during the fiscal year but still outstanding at the end of the fiscal year, regardless of the promised payment date. Do not include pledges made in a previous year. Do not include pledge amounts paid during the reporting year. Pledges (unconditional promises) should not be counted anywhere else in the VSE survey.

	No. of Pledges	Amount \$
1. For current operations	175	4,216,047
2. For capital purposes	15	7,555,960

B. New Testamentary Commitments Report provisions made in wills (or through revocable trusts) during the fiscal year for which the institution has documentation. Documentation might include a photocopy of the pertinent portion of the will or trust document or a letter describing the commitment and its ultimate financial value to the institution. Do not include provisions that name the institution as a contingent beneficiary. Testamentary commitments (bequest intentions) should not be counted anywhere else in the VSE Survey.

	Number of provisions	Face value of provisions \$	Present value of provisions \$
New Testamentary Commitments	7	4,226,500	637,551

**Kenyon College  
 FY2016 Higher Education Survey  
 Section 2. Finances & Enrollment (Required for All Versions)**

This entire section must be filled out in order to be listed in the VSE Report and be eligible for the CASE Award Program.

For Enrollment and FTEs, report the opening fall headcount or FTE count for the year being reported. Do not report unduplicated annual enrollment. For Endowment, report the market value at the end of the fiscal year being reported. For Expenditures, report the institution's E&G expenditures (read instructions for exclusions) AND the total expenditures of the affiliated foundation, if any.

ENROLLMENT: Count enrollment at the beginning of the academic year being reported (i.e., fall of 2014 for the report covering the 2014-15 fiscal year). All students (except continuing education) are counted: full-time, part-time, resident, extension, non-degree. (DO NOT convert part-time students to full-time equivalents.) FULL-TIME EQUIVALENT ENROLLMENT: Count the FTE enrollment figure as of the beginning of the reporting period, i.e., opening fall FTE enrollment. If the FTE figure is not available, use the formula: the sum of all full-time students plus one-third of the number of part-time students (e.g., three part-time students equal one full-time student). ENDOWMENT/LONG-TERM INVESTMENTS: Include all the following: - True endowment : funds provided the institution, the principal of which is not expendable by the institution under the terms of the agreement that created the fund. - Term endowment : similar to true endowment except that all or part of the funds may be expended after a stated period or upon the occurrence of a certain event as stated in the terms governing the funds. - Quasi-endowment : funds functioning as endowment - funds given to the institution "with no strings attached" or surplus funds that have been added to the endowment fund, the principal of which may be spent at the discretion of the governing board. Public institutions should include the combined endowment of the foundation and the institution. As a guideline, institutions should enter the amount reported on the NACUBO endowment survey. EXPENDITURES: Include all expenditures for Instruction, Research, Public service, Academic support, Student services, Institutional support, Scholarships and fellowships, and Operation and maintenance of physical plant. Exclude Auxiliary Enterprises, Hospital Services, and Independent operations.<br> Public institutions should enter the combined expenditures for the foundation and the institution.

	FY2015	FY2016
1. Enrollment - total headcount	1,662	1,711
2. Enrollment - full-time equivalent	1,654.0	1,703.0
3. Endowment market value \$	218,644,079	208,850,915
4. Expenditures \$	113,030,494	119,427,637

Notes on Endowment and Expenditures

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 3a. Outright Giving: Current Operations ( Full option)**

DO NOT INCLUDE DEFERRED GIFTS IN THIS SECTION. There are three options for completing Section 3: Full, Partial, and Minimal. All forms of the survey count as full participation in the program. So, complete the version where the level of detail corresponds to the level of detail in your records. You might also want to do a shorter version because of time constraints. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Unrestricted	2,672,070	966,947	86,910	513,612	191,700	0	24,850	309,614	4,765,703
Restricted 2. Academic Divs.	320	0	2,400	93,000	250	0	0	86,783	182,753
3. Faculty & Staff Compensation	0	0	0	66,535	0	0	0	18,900	85,435
4. Research	0	0	0	11,000	0	0	0	35,000	46,000
5. Public Service & Extension	0	0	250	0	0	0	0	11,000	11,250
6. Library	101,000	0	0	0	0	0	0	0	101,000
7. Operation & Maint. of Plant	18,560	0	53,400	1,470,000	20,000	0	0	25,000	1,586,960
8. Student Financial Aid	13,000	33,713	1,350	100,000	2,500	1,500	54,502	141,000	347,565
9. Athletics	10,824	44,761	3,906	0	10,968	0	0	1,000	71,459
10. Other Restricted	424,455	113,812	150,828	1,003,421	44,072	486	0	513,080	2,250,154
11. Total Restricted (2-10)	568,159	192,286	212,134	2,743,956	77,790	1,986	54,502	831,763	4,682,576
12. Total Outright for Curr. Ops. (1&11)	3,240,229	1,159,233	299,044	3,257,568	269,490	1,986	79,352	1,141,377	9,448,279

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 3b. Outright Giving: Capital Purposes**

Do not include deferred gifts in this section. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished!  
**DO NOT INCLUDE SOFTWARE ON THE VSE SURVEY.**

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Prop., Build. & Equipment	1,423,804	38,250	25,505	1,020,000	2,097	0	0	26,900	2,536,556
2. Endowment - Unrestricted	70,620	0	30	0	200	0	0	0	70,850
3. Endowment - Restricted	1,492,651	1,756,530	236,492	1,529,391	31,735	0	0	166,080	5,212,879
4. Loan Funds			179						179
5. Total Outright for Capital Purposes	2,987,075	1,794,780	262,206	2,549,391	34,032	0	0	192,980	7,820,464

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 3c. Deferred Giving**

Please report both Face Value (FV) and Present Value (PV). The Present Value for VSE purposes is defined as the tax deduction to the donor as allowed by the IRS. You must report both. If you find your records do not contain both figures, please contact VSE Support and request help. The survey cannot be accepted without face and present value figures. There is a deferred gift calculator at [www.cae.org/vsestandards](http://www.cae.org/vsestandards). This section is only for newly established deferred gifts or gifts that had funds added to them this year. Deferred gifts are ONLY: 1. Charitable Remainder Trusts. 2. Charitable Gift Annuities 3. Pooled Income Funds 4. Remainder Interest in Property. They are reported only when established, not when realized. DO NOT RECORD pledges, bequest intentions, gifts from IRAs, or gifts of life insurance in this section. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni - FV	B. Alumni - PV	C. Parents - FV	D. Parents - PV	E. Others - FV	F. Others - PV	G. Total Face Value	H. Total Present Value
1. Endowment - Unrestricted	60,000	36,977	50,000	20,537	0	0	110,000	57,514
2. Endowment - Restricted	1,000,000	310,930	0	0	0	0	1,000,000	310,930
3. Other Purposes	0	0	0	0	0	0	0	0
4. Total Deferred Giving	1,060,000	347,907	50,000	20,537	0	0	1,110,000	368,444

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 3d. Gift Income Summary**

This summary pulls together total rows from Section 3.a, 3.b, and 3.c. When you enter the data online, this section is filled in automatically. There is no data entry required.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. GRAND TOTAL
1. Total Outright for Current Operations	3,240,229	1,159,233	299,044	3,257,568	269,490	1,986	79,352	1,141,377	9,448,279
2. Total Outright for Capital Purposes	2,987,075	1,794,780	262,206	2,549,391	34,032	0	0	192,980	7,820,464
3. Total Deferred at Present Value	347,907	20,537	0						368,444
Official Total Using Present Value	6,575,211	2,974,550	561,250	5,806,959	303,522	1,986	79,352	1,334,357	17,637,187
Total Deferred at Face Value	1,060,000	50,000	0						1,110,000
Total Using Face Value	7,287,304	3,004,013	561,250						18,378,743

**Kenyon College**  
**FY2016 Higher Education Survey**  
**Section 4a. Additional Details on Section 3 - Individuals**

1. Contributions from Individuals      A. Contributions from Individuals for All Purposes      Alumni Total - REQUIRED

	No. of Record	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders	17,492	15,703	6,164	6,073,554
1b. Alumni graduate only degree or diploma holders				
1c. Alumni without a degree or diploma	962	674	151	501,657
1d. Enter Totals here ONLY if you have left 1a-1c above blank				
1e. Alumni Total	18,454	16,377	6,315	6,575,211
	No. of Record	No. Solicited	No. Donors	Amount \$
2. Parents	7,840	7,269	2,031	2,974,550
	No. of Record	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	643	643	130	75,814
4. Students	1,698	402	315	1,724
5. All Others not counted in 1-4	1,359	1,087	424	483,712
Dollar Total of rows 3-5 above				561,250

**Kenyon College  
FY2016 Higher Education Survey**
**B. Contributions from Individuals for Current Operations Only**

	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders	15,703	5,988	3,191,911
1b. Alumni graduate degree or diploma holders			
1c. Alumni without a degree or diploma	674	138	48,318
1d. Enter Totals here ONLY if you have left 1a-1c above blank			
1e. Alumni Curr. Ops. Total	16,377	6,126	3,240,229
	No. Solicited	No. Donors	Amount \$
2. Parents	7,269	1,998	1,159,233
	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	643	85	63,384
4. Students	402	314	1,189
5. All others not counted in 1-4 above	1,087	324	234,471
Dollar Total of rows 3-5 above			299,044

**C. Bequests - REQUIRED** Include realized bequests, not bequest intentions, which are reported in section 1. Make sure the bequests listed below are also reported in section 3A, 3B, and/or 3C. Bear in mind that most bequests would not appear in 3C. Generally, they are not deferred gifts. In some cases, though, a deferred gift may be established via a will. If any of the reported bequests were reported in 3C, report them below at their present value, not face value.

	No. of Donors	Amount \$
1. Bequests for Current Operations	3	105,930
2. Bequests for Capital Purposes	8	1,830,832
3. Enter Totals here ONLY if you have left lines 1 & 2 above blank		
4. Total Bequests	11	1,936,762



**Kenyon College  
FY2016 Higher Education Survey**

D. Three Largest Donor Totals from Individuals - REQUIRED. If any of these gifts are deferred gifts, use PRESENT value, not face value.

	Largest \$	2nd Largest \$	3rd Largest \$
1. From living individuals	905,972	753,686	251,729
2. Through estate settlements (bequests)	717,274	610,216	207,458

E. Direct Governing Board Giving for All Purposes Include current, emeritus and honorary board members. On row 1 include only gifts that receive legal/hard credit. NEW! Gifts that receive soft credit may be reported on row 2. Remember to report under the dollar amount, the sum of outright gifts plus deferred gifts at PRESENT (discounted) value.

You should count trustees in this section even though you previously counted them as alumni, parents, or other individuals. And soft-credit gifts would still be counted even though they are also counted under the type of organization that provided the direct gift. Do not enter zero to indicate you are skipping the question! Just leave the question blank if you're not answering. It is very unlikely that zero is a valid answer to this question.

	No. of Donors	Amount \$
1. Governing Board Giving	51	8,409,516
2. Additional Soft-Credit Gifts	19	2,289,794

F. Deferred Giving - REQUIRED Number of gifts (Charitable Remainder Trusts, Pooled Income Funds, Gift Annuities, and the like) whose dollar amount is reflected in Section 3.C.

	Number established or added to during reporting period
Deferred Giving	3

G. Appreciated Property Giving

	No. of Gifts	Amount \$
1. Securities	113	1,927,884
2. Real estate		
3. Other real property	20	13,887
4. Enter Totals here ONLY if you have left lines 1-3 above blank		
5. Total Apprec. Prop.	133	1,941,771

**Kenyon College**  
**FY2016 Higher Education Survey**

H. Personal Giving Additional Details Some personal gifts are dispensed through organizations and therefore are not reflected as personal giving data on this survey. Please report below personal gifts that are officially counted as coming from organizations elsewhere on this survey. The amount from family foundations will appear in section 4b and should not be entered here.

	No. of Donors	Amount \$
Donor-Advised Funds	177	1,197,686
Businesses	96	111,829
Other Organizations (Including Federated Funds)	7	7,418

**Kenyon College  
FY2016 Higher Education Survey  
Section 4b. Additional Details on Section 3 - Organizations & Other**

2. Contributions from Organizations      A. Foundations

	No. of Donors	Amount \$
1. Personal and Family	94	3,648,096
2. Other foundations and trusts, excluding corporate	25	2,158,863
3. Total	119	5,806,959

B. Three Largest Donor Totals from Foundations - REQUIRED    The sum of the three largest donor totals from Foundations below must not exceed the total dollar amount reported from Foundations above because these largest donor totals must be included in the amounts reported above and in Section 3.

	Largest \$	2nd Largest \$	3rd Largest \$
Foundations	1,470,000	1,026,500	1,005,000

C. Corporations    1. Forms of Giving    DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	No. of Gifts	Amount \$
1. Cash and securities (exclusive of matching gifts)	140	153,833
2. Company products		
3. Other company property		
4. Matching gifts	123	149,689
5. Total		303,522

2. Additional Matching Gifts Details    In addition to the amounts listed directly above in line 4, please report below matching corporate gifts that were dispensed through a third party, such as a community foundation, charitable gift fund, or other entity. These would not be counted as corporate giving elsewhere in the survey, but are under the control of companies.

	No. of Gifts	Amount \$
Matching Gifts Not Directly From a Corporation	20	6,806

3. Software    If your institution wishes to record gifts of software, include them below. DO NOT include them anywhere else in the survey.

	Value of Software	No. Contributing Companies
Software		

## Kenyon College FY2016 Higher Education Survey

D. Three Largest Donor Totals from Corporations - REQUIRED The sum of the three largest donor totals from Corporations below must not exceed the total dollar amount reported from Corporations you reported in Section 3. DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	Largest \$	2nd Largest \$	3rd Largest \$
Corporations	25,000	15,250	11,500

3. Other Fundraising Activity A. Purposes of Gifts to Endowment: Income Restricted (Include both outright and deferred gifts, and use the PRESENT value of deferred gifts.)

	Amount \$
1. Academic Divisions	19,550
2. Faculty and Staff Compensation	471,819
3. Research	32,000
4. Public Service and Extension	0
5. Library	7,438
6. Operation and Maintenance of Plant	0
7. Student Financial Aid	4,388,143
8. Athletics	0
9. Other	604,859
Total	5,523,809

**Kenyon College**  
**FY2016 Higher Education Survey**

B. Support of Intercollegiate (Extramural) Athletics ONLY Note that the total you report here is not comparable to other Athletics Giving Totals in the VSE Survey. In Section 3A, you report Current Operations Restricted Giving to Athletics for both Intramural and Extramural combined. Here you report only Extramural. Here should also include Extramural Gifts to Endowment, Income Restricted to Athletics that you include in Section 3.B (outright) and 3.C (deferred).

	No. of Donors	Amount \$
1. Restricted to current operations	173	70,959
2. Restricted to capital purposes		
3. Total	173	70,959